



RENEWABLE
ENERGY
PROGRAM

CALIFORNIA ENERGY COMMISSION

CEC-RPS-1A
Application for Certification
California Renewables Portfolio Standard
Program

Please refer to the Instructions for completing this form and to the *RPS Eligibility Guidebook* and the *Overall Program Guidebook* for additional information.

—All information on this form and on any attachments is subject to public disclosure—

Section I: Type of Certification Requested

With limited exceptions, facility must be online to qualify for certification. To apply for pre-certification, use form CEC-RPS-1B

Note: Certified facilities are required to participate in the Energy Commission's RPS generation tracking system—WREGIS

1. Choose *One*

☐ Certification ☐ Amended Certification* ☐ Renewal of Certification*

*If this is an amendment or renewal: Date of original certification: _____

Original certification number: _____

Section II: Applicant Contact Information

2. Applicant Information

Name of Applicant:

Title:

Applicant Phone:

Fax:

E-Mail (for all correspondence):

Person Completing Form (if different from Applicant):

3. Company Information

Company Name:

Company Address:

City:

State/Province:

Country:

ZIP:

Section III: Facility Information

4. Facility Owner

Name of Owner:

Owner Address:

Owner City:

State:

ZIP:

Owner Phone:

Fax:

E-Mail:

State or Province in which facility owner/company is incorporated and/or registered:

5. Facility Location

Name of Facility: _____

Please specify any additional names this facility is or has been known by, including names the facility has used in the past, if known: _____

Facility Location (street address or provide a legal description of site): _____		
City:	County:	State:
Facility Telephone:	Fax:	E-Mail:
Facility Operations		
6. Provide nameplate capacity of facility (in megawatts):		
7. Select Balancing Authority operator for facility: <input type="checkbox"/> CA ISO <input type="checkbox"/> Other (<i>specify</i>):		
8. Is the facility's first point of interconnection to the WECC transmission system located within California? <input type="checkbox"/> Yes. Facility is considered an in-state facility for purposes of RPS eligibility. <input type="checkbox"/> No. Either facility is located out-of-state and is <u>not</u> subject to California Public Utilities Code Section 399.17, or the facility <u>is</u> subject to PUC Section 399.17 but its generation is intended to be counted toward another retail seller's California RPS obligations. (<i>Complete CEC-RPS-1A:S3 and submit additional required information for Out-of-State Facilities</i>). ▶ For out of state facilities, provide the WECC interconnection substation location: _____ <input type="checkbox"/> Other. Facility is located out-of-state BUT the generation exclusively serves retail end-use customers outside California and has 60,000 or fewer customer accounts in California under PUC Section 399.17. (<i>Out-of-state delivery requirements do not apply to these facilities.</i>)		
9. Choose One	<input type="checkbox"/> Prior operating facility. Facility commenced commercial operations before January 1, 2005* ▶ Specify date: _____	
	<input type="checkbox"/> New facility. Facility commenced commercial operations on or after January 1, 2005.* ▶ Specify date: _____	
	<input type="checkbox"/> Repowered facility. Facility was repowered or re-entered commercial operations after January 1, 2005.* Specify date: _____ ▶ Repowered facilities must provide documentation confirming the replacement of the facility's prime generating equipment and the capital investments made to repower the facility as well as the value of those investments as described in the "Instructions for Additional Required Information for Repowered Facilities" in the RPS Guidebook. ▶ Select method used to demonstrate compliance with the 80 percent threshold: <input type="checkbox"/> Tax Records Methodology <input type="checkbox"/> Replacement Value Methodology	
	<i>* Exceptions for these online dates are small hydroelectric and small conduit hydroelectric facilities that began commercial operations or were repowered on or after January 1, 2006.</i>	
10. Choose One	<input type="checkbox"/> Facility is a central station facility.	
	<input type="checkbox"/> Facility is a distributed generation facility serving on-site load. ▶ Complete Section V	
11. Facility Identification Numbers		
California Energy Commission: Renewable Energy Program #		CEC-Other #
State of California: CA ISO #		

(Facility Identification Numbers continued)

Federal: QF ID or Self-Certification Docket #

Energy Information Administration #

☐ The facility is on an existing EIA List

☐ The facility is on a proposed EIA list

Other (Please explain):

Section IV: Facility Fuel and Technology Type

12. Indicate energy source used by the facility. For multi-fuel facilities, indicate all energy sources used. For biodiesel facilities, please also select the fuel source (either biomass or MSW conversion) from which the biodiesel is derived, complete the specified form, and provide the additional required information as applicable.

☐ Biodiesel
▶ Complete CEC-RPS-1A:S1

☐ Biogas injected into a natural gas pipeline
▶ Complete CEC-RPS-1A:S1

☐ Biomass
▶ Complete CEC-RPS-1A:S1

☐ Conduit Hydroelectric
▶ Complete CEC-RPS-1A:S2

☐ Digester Gas
▶ Complete CEC-RPS-1A:S1

☐ Fuel Cell Using Renewable Fuel

☐ Geothermal

☐ Incremental Hydroelectric
▶ Complete CEC-RPS-1A:S2

☐ Landfill Gas
▶ Complete CEC-RPS-1A:S1

☐ Municipal Solid Waste, combustion
▶ Complete CEC-RPS-1A:S1

☐ Municipal Solid Waste, conversion
▶ Complete CEC-RPS-1A:S1

☐ Ocean Wave

☐ Ocean Thermal

☐ Photovoltaic

☐ Small Hydroelectric
▶ Complete CEC-RPS-1A:S2

☐ Solar Thermal Electric

☐ Tidal Current

☐ Wind

13. Does the facility use any fossil fuel? ☐ Yes* ☐ No

*If Yes, specify the type and the average amount of all fossil fuel used annually on a total energy input basis for the calendar year immediately before the date of application (if this is the first year of operation, estimate fossil fuel use):

▶ Type of fossil fuel: _____

▶ Average annual percentage for prior calendar year (or estimate): _____

14. Under a 2002-2003 Interim RPS Procurement solicitation approved by the CPUC under Decision 02-08-071 and Decision 02-10-062, was the facility developed and awarded a power purchase contract?

☐ Yes

(If Yes, facility may use up to 25% fossil fuel and count 100% of the electricity generated as RPS-eligible.)

☐ No

(If No, only renewable portion of generation may be RPS-eligible.)

15. Is the facility currently certified as a renewable Qualifying Small Power Production Facility (QF) under the federal Public Utilities Regulatory Policies Act of 1978 (PURPA)?

☐ Yes* ☐ No

*If Yes, provide the original certification date as a renewable QF. ▶ Original certification date: _____

(If Yes and facility was certified as a renewable QF that commenced commercial operations before January 1, 2002, facility may use up to 25% fossil fuel and count 100% of the electricity generated as RPS-eligible. If No, only the renewable portion of the electricity production can qualify for the RPS.)

The following section is only for applicants who indicated that the facility is a Distributed Generation Facility in #10.

Section V: Distributed Generation Information

16. Is/Was the entire electrical output generated at the facility sold or planned to be sold to a retail seller or a local publicly owned electric utility under a power purchase agreement and is/was the energy purchased or planned to be purchased from the counterparty to that agreement or from another party to serve the entire on-site demand of the facility?

☐ Yes ☐ No

► If planned, specify date: _____

17. Is/Was the excess generation, or generation net of the facility's on-site demand, sold or planned to be sold under a power purchase agreement with a retail seller or local publicly owned electric utility?

☐ Yes ☐ No *(If answers to both #16 and #17 are No, the facility is not RPS-eligible at this time)*

► If Yes, attach a description of how the metering scenario (will) accurately measure(s) the facility's excess generation

18. Have benefits been received, are being received, or are planned to be received for the facility from the following ratepayer funded programs: *(Check all that apply)*

Energy Commission:

☐ Emerging Renewables Program

☐ New Solar Homes Partnership

☐ Pilot Performance-Based Initiative Program

☐ Existing Renewables Program under SB 90

CPUC:

☐ California Solar Initiative

☐ Self Generation Incentive Program

☐ Other. Please Specify: _____

☐ None *(If None, skip to Section VI)*

19. Has/Is the facility participated/ing in a net metering program or benefited/ing from net metering tariffs?

☐ Yes ☐ No *(If No, skip to Section VI)*

20. Is a plan in place to exit the net metering program or net metering tariffs if a power purchase agreement is secured as described in questions #16 or #17?

☐ Yes ☐ No *(If No, facility is not RPS eligible at this time)*

► If net metering program or tariffs were already exited or are planned to be exited, specify date: _____

Section VI: General Information

The Energy Commission reserves the right to request additional information to confirm or clarify information provided in this application including any attachments. If a representative of a certified facility does not respond to the Energy Commission's request for an information update in a timely manner, the facility is at risk of losing its certification status.

The Energy Commission's Accounting Office or its authorized agents, along with Energy Commission technical staff, may audit any applicant to verify the accuracy of any information included as part of an application for RPS certification, under the *Overall Program Guidebook for the Renewable Energy Program*. As part of an audit, an applicant may be required to provide the Accounting Office or its authorized agents with any and all information and records necessary to verify the accuracy of any information included in the awardee's applications, invoices, or reports. An applicant may also be required to open its business records for on-site inspection and audit by the Accounting Office or its authorized agents for purposes of verifying the accuracy of any information included in the

applicant's applications, invoices, and reports.

Representatives of certified facilities must notify the Energy Commission promptly of any changes in information previously submitted to the Energy Commission. Failure to do so may result in revocation of certification status. Any changes affecting the facility's certification status should be reported on an amended CEC-RPS-1A form. If there are any changes to the status of a facility's certification, the new information will be posted on the Energy Commission's website.

Section VII: Attestation

I am an authorized officer of the above-noted facility owner, an authorized agent of the facility owner, or a retail seller contracting with the above noted facility owner and with authority to submit this application on the facility owner's behalf, hereby submit this application on behalf of said facility owner for certification of the facility as a renewable facility eligible for California's RPS or certification as eligible for California's RPS. I have read the above information as well as the *Renewables Portfolio Standard Eligibility Guidebook*, the *Overall Program Guidebook for the Renewable Energy Program*, and the *New Renewable Facilities Program Guidebook* and understand the provisions of these guidebooks and my responsibilities. I acknowledge that the receipt of any certification approval from the Energy Commission is conditioned on the acceptance and satisfaction of all program requirements as set forth in the *Renewables Portfolio Standard Eligibility Guidebook* and the *Overall Program Guidebook for the Renewable Energy Program*. I declare under penalty of perjury that the information provided in this application and any supplemental forms and attachments is true and correct to the best of my knowledge.

Applicant Name: _____

Applicant Title: _____

Signature: _____

Date signed: _____

☐ The following are attached: _____

REMINDER: *Some facilities have further submission requirements outlined below; refer to the RPS Eligibility Guidebook for details.*

Technology/Characteristic	Additional Required Information	Supplemental Form
Biodiesel, Biogas injected into the natural gas pipeline, Biomass, Digester Gas, Landfill Gas, MSW Combustion, and MSW Conversion	Yes	CEC-RPS-1A:S1
Hydroelectric	Yes	CEC-RPS-1A:S2
Out of State	Yes	CEC-RPS-1A:S3
Repowered	Yes	N/A

SUBMIT: 1. Completed and signed CEC-RPS 1A/B form
2. Applicable supplemental forms
3. Applicable additional required information
4. Other, please specify:

TO: California Energy Commission
Attn: RPS Certification
1516 Ninth Street, MS-45
Sacramento, CA 95814